

Monthly Return of Equity Issuer on Movements in Securities

For the month ended (dd/mm/yyyy	/): <u>31/12/2019</u>			
To : Hong Kong Exchanges and Clea	To : Hong Kong Exchanges and Clearing Limited			
Name of Issuer FINSO	FT FINANCIAL IN\	/ESTMENT H	OLDINGS LIMITED	
Date Submitted 2 JANU	JARY 2020			
I. Movements in Authorised Share C	apital			
1. Ordinary Shares				
(1) Stock code : 8018 Descri	ption :	N/A	1	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	2,000,000,000	HK\$0.005	HK\$10,000,000	
Increase/(decrease)	Nil		Nil	
Balance at close of the month	2,000,000,000	HK\$0.005	HK\$10,000,000	
(2) Stock code : N/A Descri	ption :	N/A	<u> </u>	
	No. of ordinary shares	Par value (State currency)	Authorised share capital (State currency)	
Balance at close of preceding month	N/A	N/A	N/A	
Increase/(decrease)	N/A		N/A	
Balance at close of the month	N/A	N/A	N/A	

2. Preference Shares			
Stock code : N/A Descrip	tion :	N/A	4
	No. of preference shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month _	N/A	N/A	N/A
Increase/(decrease)	N/A	_	N/A
Balance at close of the month	N/A	N/A	N/A
3. Other Classes of Shares			
Stock code : N/A Descrip	tion :	N/A	<u> </u>
	No. of other classes of shares	Par value (State currency)	Authorised share capital (State currency)
Balance at close of preceding month _	classes of	(State	capital
Balance at close of preceding month _ Increase/(decrease)	classes of shares	(State currency)	capital (State currency)
	classes of shares N/A	(State currency)	capital (State currency) N/A

March 2019

II. Movements in Issued Share Capital

	No. of ordin	ary shares	No of preference	No. of other
	(1)	(2)	shares	classes of shares
Balance at close of preceding month	1,260,582,340	N/A	N/A	N/A
Increase/ (decrease) during the month	N/A	N/A	N/A	N/A
Balance at close of the month	1,260,582,340	N/A	N/A	N/A

III. Details of Movements in Issued Share Capital

Share Options (under Share Option Schemes of the Issuer) Particulars of No. of new shares of No. of new shares of share option issuer issued during issuer which may be scheme issued pursuant the month pursuant including EGM thereto thereto as at close of Movement during the month approval date the month (dd/mm/yyyy) and class of shares issuable Granted Exercised Cancelled Lapsed 1. Share Option Scheme adopted on 10/09/2013 / / Ordinary shares Nil Nil Nil Nil Nil Nil (Note 1) 2. N/A shares (Note 1) 3. N/A shares (Note 1) Total A. (Ordinary shares) Nil N/A (Preference shares) (Other class) N/A Total funds raised during the month from exercise of options (State currency) N/A

Warrants to Issue Shares of the	e issuer which a	are to be Listed				
Description of warrants (Date of expiry - dd/mm/yyyy)	Currency of nominal value	Nominal value at close of preceding month	Exercised during the month	Nominal value at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A						
						
Class of shares issuable (Note 1)						
(if applicable)						
	(/ /)				
2. N/A						
(/ /)						
Stock code (if listed)						
Class of shares issuable (Note 1)						
EGM approval date (if applicable)	(,				
(dd/mm/yyyy) 3. N/A	(/ /)				
3. N/A						
(/ /)				-		
Stock code (if listed) Class of shares						
issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
	(/ /)				
4. N/A	,					
(/ /)						
Stock code (if listed)						
Class of shares issuable (Note 1)						
O						
EGM approval date						
(if applicable)						
(dd/mm/yyyy)	(/ /)				
		Total B	(Ordina	ary shares)	N/A	
		lotal D	`	ce shares)	N/A	-
				ther class)	N/A	-
			, ,	<i>'</i>		-

Convertibles (i.e. Convertible Class and description	Currency of amount outstanding	Amount at close of preceding month	t Converted	Amount at close of the month	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A	<u> </u>					
	_					
Stock code (if listed) Class of shares issuable (Note 1)						
Subscription price EGM approval date						
(if applicable)	(
(dd/mm/yyyy)) 2. N/A	(/ /)					
	_					
Stock code (if listed)						
Class of shares						
issuable (Note 1) Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	(/ /)					
3. N/A						
Stock code (if listed)			<u> </u>			
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date						
(if applicable) (dd/mm/yyyy)	(/ /)					
4. N/A	_					
	<u> </u>					
Stock code (if listed)						
Class of shares issuable (Note 1)						
Subscription price						
EGM approval date (if applicable)						
(dd/mm/yyyy)	(/ /)					
			Total C. (Ordina	ary shares) ce shares)	N/A N/A	_
				ther class)	N/A	_
			•	· —		_

Any other Agreements or Arrangements to Issue Shares of the Issuer which are to be Listed, including Options (other than under Share Option Schemes)

Options (other than under Share Option Schemes)			
Full particulars including EGM approval date (dd/mm/sif applicable, and class of shares issuable:	уууу),	No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
1. N/A			
	/ /)		
sha	res (Note 1)		
2. N/A			
	/ /)		
sha	res (Note 1)		
3. <u>N/A</u>			
	/ /)		
sha	res (Note 1)		
	ary shares)	N/A	
	nce shares)	N/A	
	Other class)	N/A	

Ot	her Movements in	Issued Share Capital					
	Type of Issue					No. of new shares of issuer issued during the month pursuant thereto	No. of new shares of issuer which may be issued pursuant thereto as at close of the month
	Type of issue		Olever of allege	N/A			
			Class of shares issuable (Note 1)				
1.	Rights issue	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			(22)			N/A	N/A
			Class of shares issuable (Note 1)	N/A			
2.	Open offer	At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
						N/A	N/A
				N/A			
			Class of shares issuable (Note 1)				
3.	Placing	At price : State	Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			(),,,,,			N/A	N/A
			Class of shares issuable (Note 1)	N/A			
4.	Bonus issue		Issue and allotment date : (dd/mm/yyyy)	(/ /)		
			EGM approval date: (dd/mm/yyyy)	(/ /)		
			, , , , , , , , , , , , , , , , , , , ,			N/A	N/A

shares of shares of issuer which issued may be during the issued month pursuant thereto as a								
Social consideration State State		Type of Issue					shares of issuer issued during the month pursuant	issued pursuant thereto as at close of the
Substitute					N/A			
Class of shares	5.	Scrip dividend		Issue and allotment	(/ /			
Class of shares repurchased (Note 1)			carretrey	EGM approval date:		,		
Cancellation date : (dd/mm/yyyy)				(dd/iiiii/yyyy)		,	N/A	N/A
6. Repurchase of shares Cancellation date : (dd/mm/yyyy)				Class of shares	N/A			
Class of shares	_			repurchased (Note 1)				
Class of shares N/A redeemed (Note 1) 7. Redemption of shares (dd/mm/yyyy) Redemption date: (dd/mm/yyyy) EGM approval date: (vd/mm/yyyy) N/A N/A Class of shares (vd/mm/yyyy) N/A N/A Class of shares issuable (Note 1) Issue and allotment date: (dd/mm/yyyy) EGM approval date: (vd/mm/yyyy) EGM approval date: (vd/mm/yyyy) EGM approval date: (vd/mm/yyyy)	6.				(/ /)		
7. Redemption of shares				EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	N/A
7. Redemption of shares								
Shares (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) N/A N/A N/A State issue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)					N/A			
(dd/mm/yyyy) N/A N/A	7.				(/ /)		
Class of shares N/A issuable (Note 1) 8. Consideration issue At price: State currency lssue and allotment date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)				EGM approval date: (dd/mm/yyyy)	(/ /)	NI/A	NI/A
8. Consideration issue At price: State currency State date: (dd/mm/yyyy) EGM approval date: (dd/mm/yyyy)								<u>N/A</u>
issue At price: currency date: (dd/mm/yyyy) (/ /) EGM approval date: (dd/mm/yyyy)					N/A			
(dd/mm/yyyy) (/ / /)	8.		At price : State currency ———		(/ /)		
<u>N/A</u>				EGM approval date: (dd/mm/yyyy)	(/ /)		
							N/A	N/A

	Type of Issue				No. of new shares of issuer issued during the month pursuant thereto	sha issue ma iss pur there close	of new lires of er which ay be sued esuant to as at e of the onth
			N1/A				
		Class of shares issuable (Note 1)	N/A	_			
9.	Capital reorganisation	Issue and allotment date : (dd/mm/yyyy)	(/ /)			
		EGM approval date: (dd/mm/yyyy)	(/ /)			
					<u>N/A</u>	- -	N/A
		Class of shares	N/A				
		issuable (Note 1)					
10.	Other (Please specify) At price : State currency	Issue and allotment date : (dd/mm/yyyy)	(/ /)			
		EGM approval date: (dd/mm/yyyy)	(/ /)	N/A	- -	N/A
		Total	E. (Ord	inary	,		
			` sha	ares)	N/A	_	
		(Prefe	erence sha Other c			-	
To	otal increase / (decrease) in ordinary shares duri	ing the month (i.e. Total o	of A to E):	(1)	N/A	
			ŕ	(2)	N/A	
To	otal increase / (decrease) in preference shares d	luring the month (i.e. Tot	al of A to I	Ξ):		N/A	
To E)	otal increase / (decrease) in other classes of sha	ares during the month (i.e	e. Total of	A to		N/A	
(Ť	These figures should be the same as the relevan apital").)	t figures under II above	("Moveme	ents i	n Issued SI	hare	
IV.	Confirmations						

We hereby confirm to the best knowledge, information and belief that, in relation to each of the securities issued by the issuer during the month as set out in Part III which has not been previously disclosed in a return published under rule 17.27A, it has been duly authorized by the board of directors of the listed issuer and, insofar as applicable:

(Note 2)

- (i) all money due to the listed issuer in respect of the issue of securities has been received by it;
- (ii) all pre-conditions for listing imposed by the Rules Governing the Listing of Securities on GEM of The Stock Exchange of Hong Kong Limited under "Qualifications of listing" have been fulfilled;
- (iii) all (if any) conditions contained in the formal letter granting listing of and permission to deal in the securities have been fulfilled;

(iv) all the securities of each class are in all respects identical (Note 3); (v) all documents required by the Companies (Winding Up and Miscellaneous Provisions) Ordinance to be filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements; (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue; (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies. Remarks (if any): Submitted by: Wong Kei Lam Title: Company Secretary (Director, Secretary or other duly authorised officer)		
filed with the Registrar of Companies have been duly filed and that compliance has been made with other legal requirements; (vi) all the definitive documents of title have been delivered/are ready to be delivered/are being prepared and will be delivered in accordance with the terms of issue; (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies. Remarks (if any): Submitted by: Wong Kei Lam Title: Company Secretary	(iv)	all the securities of each class are in all respects identical (Note 3);
and will be delivered in accordance with the terms of issue; (vii) completion has taken place of the purchase by the issuer of all property shown in the listing document to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies. Remarks (if any): Submitted by:	(v)	filed with the Registrar of Companies have been duly filed and that compliance has been made with
to have been purchased or agreed to be purchased by it and the purchase consideration for all such property has been duly satisfied; and (viii) the trust deed/deed poll relating to the debenture, loan stock, notes or bonds has been completed and executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies. Remarks (if any): Submitted by: Wong Kei Lam Fitle: Company Secretary	(vi)	
executed, and particulars thereof, if so required by law, have been filed with the Registrar of Companies. Remarks (if any): Submitted by: Wong Kei Lam Fitle: Company Secretary	(vii)	to have been purchased or agreed to be purchased by it and the purchase consideration for all such
Submitted by: Wong Kei Lam Fitle: Company Secretary	(viii)	executed, and particulars thereof, if so required by law, have been filed with the Registrar of
Title:Company Secretary	Remar	ks (if any):
Fitle:Company Secretary		
Fitle: Company Secretary		
itle: Company Secretary		
itle: Company Secretary		
Title: Company Secretary		
Title: Company Secretary		
Fitle: Company Secretary		
	Submit	tted by: <u>Wong Kei Lam</u>
(Director, Secretary or other duly authorised officer)	Γitle:	
		(Director, Secretary or other duly authorised officer)

Notes:

- 1. State the class of shares (e.g. ordinary, preference or other).
- 2. Items (i) to (viii) are suggested forms of confirmation which may be amended to meet individual cases. Where the issuer has already made the relevant confirmations in a return published under rule 17.27A in relation to the securities issued, no further confirmation is required to be made in this return.
- 3. "Identical" means in this context:
 - the securities are of the same nominal value with the same amount called up or paid up;
 - they are entitled to dividend/interest at the same rate and for the same period, so that at the next ensuing distribution, the dividend/interest payable per unit will amount to exactly the same sum (gross and net); and
 - they carry the same rights as to unrestricted transfer, attendance and voting at meetings and rank pari passu in all other respects.
- 4. If there is insufficient space, please append the prescribed continuation sheet.